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PTO/SB/01 (12/97)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**



Declaration  
Submitted with  
Initial Filing



Declaration Submitted  
after Initial Filing  
(surcharge (37 CFR 1.16  
(e)) required)

Attorney Docket Number	24200/40123
First Named Inventor	Janes et al.
COMPLETE IF KNOWN	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**WEATHERSEAL HAVING A CONTACT SURFACE WITH THERMOPLASTIC PARTICLES IN A THERMOSET CARRIER**

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/093/080	July 16, 1998	

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Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## DECLARATION - Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number  OR  
☒ Registered practitioner(s) name/registration number listed below

Place Customer  
Number Bar Code  
Label here

Name	Registration Number	Name	Registration Number
Brian B. Shaw	33782	John E. Thomas	34070
Stephen B. Salai	26990	Thomas A. Davidson	34315
Walter J. Malinowski	43423		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label  OR ☒ Correspondence address below

Name	Brian B. Shaw				
Address	Cumpston & Shaw				
Address	Two State Street, Suite 850				
City	Rochester	State	New York	ZIP	14614
Country	United States	Telephone	(716) 325-5553	Fax	(716) 262-3906

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
Dennis W.		Janes	
Inventor's Signature	Date		June 7, 1999
Residence: City	Hamilton	State	Illinois
Country	USA	Citizenship	US
Post Office Address	10 Hillcrest, Apt. 6		
Post Office Address			
City	Hamilton	State	Illinois
ZIP	62341	Country	US

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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PTO/SB/02A (3-97)

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page 3 of 5
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Jason O.				Watts			
Inventor's Signature	<i>Jason O. Watts</i>					Date	7 June 99
Residence: City	Fort Madison	State	Iowa	Country	USA	Citizenship	US
Post Office Address	707 1/2 Avenue E						
Post Office Address							
City	Fort Madison	State	Iowa	ZIP	52627	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Kevin Dale				Utterback			
Inventor's Signature						Date	
Residence: City	Warsaw	State	Illinois	Country		Citizenship	
Post Office Address	#8 Windy Court						
Post Office Address							
City	Warsaw	State	Illinois	ZIP	62379	Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Krishnamachari				Gopalan			
Inventor's Signature						Date	
Residence: City	Knoxville	State	Tennessee	Country	USA	Citizenship	US
Post Office Address	300 Treyburn Drive						
Post Office Address							
City	Knoxville	State	Tennessee	ZIP	37922	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

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## DECLARATION

### ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 4 of 5

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Jason O.				Watts			
<b>Inventor's Signature</b>						<b>Date</b>	
<b>Residence: City</b>	Fort Madison	<b>State</b>	Iowa	<b>Country</b>	USA	<b>Citizenship</b>	US
<b>Post Office Address</b>	707 1/2 Avenue E						
<b>Post Office Address</b>							
<b>City</b>	Fort Madison	<b>State</b>	Iowa	<b>ZIP</b>	52627	<b>Country</b>	USA

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Kevin Dale				Utterback			
<b>Inventor's Signature</b>	<i>Kevin Dale Utterback</i>					<b>Date</b>	<i>June 11, 1999</i>
<b>Residence: City</b>	Warsaw	<b>State</b>	Illinois	<b>Country</b>		<b>Citizenship</b>	
<b>Post Office Address</b>	#8 Windy Court						
<b>Post Office Address</b>							
<b>City</b>	Warsaw	<b>State</b>	Illinois	<b>ZIP</b>	62379	<b>Country</b>	

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Krishnamachari				Gopalan			
<b>Inventor's Signature</b>						<b>Date</b>	
<b>Residence: City</b>	Knoxville	<b>State</b>	Tennessee	<b>Country</b>	USA	<b>Citizenship</b>	US
<b>Post Office Address</b>	300 Treyburn Drive						
<b>Post Office Address</b>							
<b>City</b>	Knoxville	<b>State</b>	Tennessee	<b>ZIP</b>	37922	<b>Country</b>	USA

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
<b>Inventor's Signature</b>						<b>Date</b>	
<b>Residence: City</b>		<b>State</b>		<b>Country</b>		<b>Citizenship</b>	
<b>Post Office Address</b>							
<b>Post Office Address</b>							
<b>City</b>		<b>State</b>		<b>ZIP</b>		<b>Country</b>	

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## DECLARATION

**ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**  
Page 5. of 5

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Jason O.				Watts			
<b>Inventor's Signature</b>						<b>Date</b>	
<b>Residence: City</b>	Fort Madison	<b>State</b>	Iowa	<b>Country</b>	USA	<b>Citizenship</b>	US
<b>Post Office Address</b>	707 1/2 Avenue E						
<b>Post Office Address</b>							
<b>City</b>	Fort Madison	<b>State</b>	Iowa	<b>ZIP</b>	52627	<b>Country</b>	USA

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Kevin Dale				Utterback			
<b>Inventor's Signature</b>						<b>Date</b>	
<b>Residence: City</b>	Warsaw	<b>State</b>	Illinois	<b>Country</b>		<b>Citizenship</b>	
<b>Post Office Address</b>	#8 Windy Court						
<b>Post Office Address</b>							
<b>City</b>	Warsaw	<b>State</b>	Illinois	<b>ZIP</b>	62379	<b>Country</b>	

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Krishnamachari				Gopalan			
<b>Inventor's Signature</b>	<i>K. Gopalan</i>					<b>Date</b>	6/7/99.
<b>Residence: City</b>	Knoxville	<b>State</b>	Tennessee	<b>Country</b>	USA	<b>Citizenship</b>	US
<b>Post Office Address</b>	300 Treyburn Drive						
<b>Post Office Address</b>							
<b>City</b>	Knoxville	<b>State</b>	Tennessee	<b>ZIP</b>	37922	<b>Country</b>	USA

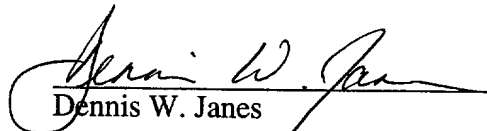
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
<b>Inventor's Signature</b>						<b>Date</b>	
<b>Residence: City</b>		<b>State</b>		<b>Country</b>		<b>Citizenship</b>	
<b>Post Office Address</b>							
<b>Post Office Address</b>							
<b>City</b>		<b>State</b>		<b>ZIP</b>		<b>Country</b>	

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ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, I, Dennis W. Janes, 10 Hillcrest, Apt. 6, Hamilton, Illinois 62341; co-inventor with Jason O. Watts, 707 1/2 Avenue E, Fort Madison, Iowa 52627; Krishnamachari Gopalan, 300 Treyburn Drive, Knoxville, Tennessee 37922; and Kevin Dale Utterback, #8 Windy Court, Warsaw, Illinois 62379; HEREBY ASSIGN all right, title, and interest worldwide in and to the invention described in the U.S. patent application entitled WEATHERSEAL HAVING A CONTACT SURFACE WITH THERMOPLASTIC PARTICLES IN A THERMOSET CARRIER, executed on June 7, 1999, and in and to said U.S. patent application and all applications that may be filed on said invention anywhere in the world, including any and all divisions, reissues, continuations and extensions thereof and in and to any Letters Patent, Inventors' Certificates, Design Registrations, Industrial Models, Utility Models and all other forms of protection that may be granted thereon worldwide to Schlegel Corporation a New York corporation, 3490 Winton Place, Rochester, New York 14623. I request that all Letters Patent, Inventors' Certificates, Design Registrations, Industrial Models, Utility Models and all other forms of protection on said invention be issued to the Assignee, and I agree to cooperate fully in obtaining and enforcing patent protection for said invention, including communicating any facts relating to said invention, signing lawful papers, and at the request and expense of the Assignee, testifying in legal proceedings.

Dated: JUNE 7, 1999


  
Dennis W. Janes

2006 JUN 10 10:59:00

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, I, Krishnamachari Gopalan, 300  
Treyburn Drive, Knoxville, Tennessee 37922; co-inventor with Kevin Dale Utterback, #8  
Windy Court, Warsaw, Illinois 62379; Dennis W. Janes, 10 Hillcrest, Apt. 6, Hamilton,  
Illinois 62341; and Jason O. Watts, 707 1/2 Avenue E, Fort Madison, Iowa 52627; HEREBY  
ASSIGN all right, title, and interest worldwide in and to the invention described in the U.S.  
patent application entitled WEATHERSEAL HAVING A CONTACT SURFACE WITH  
THERMOPLASTIC PARTICLES IN A THERMOSET CARRIER, executed on  
June 7, 1999, and in and to said U.S. patent application and all applications that  
may be filed on said invention anywhere in the world, including any and all divisions,  
reissues, continuations and extensions thereof and in and to any Letters Patent, Inventors'  
Certificates, Design Registrations, Industrial Models, Utility Models and all other forms of  
protection that may be granted thereon worldwide to Schlegel Corporation a New York  
corporation, 3490 Winton Place, Rochester, New York 14623. I request that all Letters  
Patent, Inventors' Certificates, Design Registrations, Industrial Models, Utility Models and  
all other forms of protection on said invention be issued to the Assignee, and I agree to  
cooperate fully in obtaining and enforcing patent protection for said invention, including  
communicating any facts relating to said invention, signing lawful papers, and at the request  
and expense of the Assignee, testifying in legal proceedings.

Dated: 6/7/99, 1999

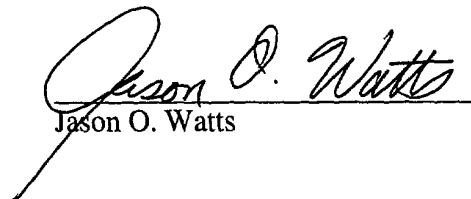
  
\_\_\_\_\_  
Krishnamachari Gopalan

2006-10-10 10:50:30

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, I, Jason O. Watts, 707 1/2 Avenue E, Fort Madison, Iowa 52627; co-inventor with Krishnamachari Gopalan, 300 Treyburn Drive, Knoxville, Tennessee 37922; Kevin Dale Utterback, #8 Windy Court, Warsaw, Illinois 62379; and Dennis W. Janes, 10 Hillcrest, Apt. 6, Hamilton, Illinois 62341; HEREBY ASSIGN all right, title, and interest worldwide in and to the invention described in the U.S. patent application entitled WEATHERSEAL HAVING A CONTACT SURFACE WITH THERMOPLASTIC PARTICLES IN A THERMOSET CARRIER, executed on June 7, 1999, and in and to said U.S. patent application and all applications that may be filed on said invention anywhere in the world, including any and all divisions, reissues, continuations and extensions thereof and in and to any Letters Patent, Inventors' Certificates, Design Registrations, Industrial Models, Utility Models and all other forms of protection that may be granted thereon worldwide to Schlegel Corporation a New York corporation, 3490 Winton Place, Rochester, New York 14623. I request that all Letters Patent, Inventors' Certificates, Design Registrations, Industrial Models, Utility Models and all other forms of protection on said invention be issued to the Assignee, and I agree to cooperate fully in obtaining and enforcing patent protection for said invention, including communicating any facts relating to said invention, signing lawful papers, and at the request and expense of the Assignee, testifying in legal proceedings.

Dated: 7 June, 1999

  
Jason O. Watts

200501-013007



ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, I, Kevin Dale Utterback, #8 Windy Court, Warsaw, Illinois 62379; co-inventor with Dennis W. Janes, 10 Hillcrest, Apt. 6, Hamilton, Illinois 62341; Jason O. Watts, 707 1/2 Avenue E, Fort Madison, Iowa 52627; and Krishnamachari Gopalan, 300 Treyburn Drive, Knoxville, Tennessee 37922; HEREBY ASSIGN all right, title, and interest worldwide in and to the invention described in the U.S. patent application entitled WEATHERSEAL HAVING A CONTACT SURFACE WITH THERMOPLASTIC PARTICLES IN A THERMOSET CARRIER, executed on June 7, 1999, and in and to said U.S. patent application and all applications that may be filed on said invention anywhere in the world, including any and all divisions, reissues, continuations and extensions thereof and in and to any Letters Patent, Inventors' Certificates, Design Registrations, Industrial Models, Utility Models and all other forms of protection that may be granted thereon worldwide to Schlegel Corporation a New York corporation, 3490 Winton Place, Rochester, New York 14623. I request that all Letters Patent, Inventors' Certificates, Design Registrations, Industrial Models, Utility Models and all other forms of protection on said invention be issued to the Assignee, and I agree to cooperate fully in obtaining and enforcing patent protection for said invention, including communicating any facts relating to said invention, signing lawful papers, and at the request and expense of the Assignee, testifying in legal proceedings.

Dated: June 11, 1999

Kevin Dale Utterback  
Kevin Dale Utterback

20050501 04300